

# UNITED STATES PATENT AND TRADEMARK OFFICE

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	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/505,343	08/23/2004	Walter Otto Repple	752-06US	5920
23716 ANTHONY AS	7590 03/09/2005 OUITH		EXAMINER	
28-461 COLUMBIA STREET WEST WATERLOO, ON N2T 2P5			WEINSTEIN, LEONARD J	
CANADA	JN N21 ZP5		ART UNIT	PAPER NUMBER
			3746	
			MAIL DATE 03/09/2009	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

### Interview Summary

		7.0.0					
	LEONARD J. WEINSTEIN	3746					
All participants (applicant, applicant's representative, PTO	personnel):						
(1) <u>LEONARD J. WEINSTEIN</u> .	(3) <u>DEVON KRAMER</u> .						
(2) <u>ANTHONY ASQUITH</u> .	(4)						
Date of Interview: 05 March 2009.							
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	2) applicant's representative	e]					
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)□ No.						
Claim(s) discussed:							
Identification of prior art discussed:							
Agreement with respect to the claims f) was reached. g	) was not reached. h) № N	I/A.					
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.  (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)  THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.							
/Leonard J Weinstein/ Examiner, Art Unit 3746	/Devon C Kramer/ Supervisory Patent Examiner, Art U	nit 3746					

### Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record
A complete written statement as to the substance of any factor-bace, video conference, or telephone interview with regard to an application must be made of record in the application where or not an apprenent with the examiner was reached at the interview.

## Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patient or Trademark Office should be transacted in writing. The personal attendance of applicants or their attomeys or agents at the Patient and Trademark Office is unnecessary. The action of the Patient and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant of the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate. the Form should be mailed promotive after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the interview Summay Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the
- Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the applicant may desire to emphasize and fully
  - describe those arguments which he or she feels were or might be persuasive to the examiner.)
- a general indication of any other pertinent matters discussed, and
- if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Application No. 10/505,343

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: In the interview on March 5, 2009, applicant was contacted to discuss the current status of the instant application. The applicant asserted that per the response of October 2, 2008 to the notice of Non-Compliance of September 19, 2008 the instant application had been perfected as a result of applicant's election of claims 1-30 and the amendment to the specification submitted. The examiner stated that the amendment to the specification did not properly claim priority and the applicant had not followed the instruction set forth in the Notice of Noncompliance of September 19, 2008. The applicant asserted that his intentions were clear and the issue of a priority was a minor formality that should not preclude prosecution from moving forward. The applicant requested that an office action be sent in response to the response of October 2, 2008. The examiner informed the applicant that the claim for priority was not a minor informality because currently the application would improperly receive the benefit of the "foreign" filing date of the US application but a patent term beginning from the date which the PCT was filed. The ramifications of the current priority claims were explained in section 10 of the Notice of Noncompliance of September 19, 2008. The examiner noted that an office action at this point would involve a rejection but a proper claim to priorty would bring the application closer to allowance. Currently the examiner has prior art, which was indicated in the Notice of Non-compliance, which is available because of the improper claim to priority. The examiner noted that the applicant had not fully appreciated the attempt made in the Notice of Non-compliance to inform the applicant what was required to further prosecution. The examiner concluded the interview with the interview by informing the applicant that in order to put the instant application for allowance a formal petition with a proper benefit claim is required. The examiner notes that section 10 of the Notice of Noncompliance should be referred to for quidance on how to properly claim benefit for claims 2 and 9 which include subject matter not found earlier filed US application which the applicant claimed foreign priority to..